

Legal Basis for Processing Personal Data

The Controller processes your personal data in accordance with Article 6(1)(a) of the UK GDPR and Section 8(1)(a) of the Data Protection Act 2018 — where the data subject has given consent to the processing of their personal data for one or more specific purposes. Additionally, personal data may be processed under Article 6(1)(b) of the UK GDPR and Section 8(1)(b) of the Data Protection Act 2018 — where the processing is necessary for the performance of a contract to which the data subject is a party or in order to take steps at the request of the data subject prior to entering into a contract. Furthermore, data processing may be carried out under Article 6(1)(f) of the UK GDPR and Section 8(1)(f) of the Data Protection Act 2018 — where the processing is necessary for the purposes of the legitimate interests pursued by the Controller or a third party, except where such interests are overridden by the interests or fundamental rights and freedoms of the data subject which require the protection of personal data, especially where the data subject is a child.

Regarding specific regulations, your personal data is primarily processed in accordance with:

- The Financial Services and Markets Act 2000, which relates to financial intermediation and financial advice;
- The Public Records Act 1958, which governs archiving and record-keeping;
- The Companies Act 2006, which includes provisions related to accounting and record-keeping;

And other relevant legislation.